

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: E. Neil Lewis et al. Examiner: Curtis  
Serial No.: 09/828,281 Art Unit 2872  
Filed: April 6, 2001  
Title: HYBRID-IMAGING SPECTROMETER

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10/22/03

CERTIFICATE OF HAND DELIVERY

The undersigned hereby certifies that this document is being hand delivered to the United States Patent and Trademark Office on August 19, 2003

  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

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**STATEMENT FILED PURSUANT TO  
THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 and 1.98**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants request  
08/27/2003 AJOHNSD1 00000007 09828281  
01 FC:1806 consideration of this Information Disclosure Statement.

**PART I: Compliance With 37 C.F.R. § 1.97**

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final office action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311. The fee of \$180.00 as set forth in §1.17(p) is enclosed.

**PART II: Information Cited**

Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

**PART III: Remarks**

A copy of all documents listed on the attached PTO-1449 form (modified) is enclosed. It is respectfully requested that:

The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims; and

The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that a more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 50-0750.

Respectfully submitted,

August 18, 2003  
Dated

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SUBSTITUTE FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

(37 CFR 1.98(b))

Application No.

09/828,281

Filing Date

April 6, 2001

First Named Inventor

Lewis, E. Neil

Art Unit

2872

Examiner Name

Curtis, Craig H.

Attorney Docket No.

S0001-014002

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EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.	